

On the Equality of Rank of a Fourth-Idempotent Matrix

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Abstract: The equality of rank a fourth-idempotent matrix is established by means of elementary transformation and properties of idempotent matrix.

Keywords: fourth-idempotent matrix, rank, equality.

1. INTRODUCTION

Proposition 1 $A^4 = A \Leftrightarrow \text{rank}(A) + \text{rank}(E - A^3) = n$

Proof: Since the elementary transformation of a matrix does not change the rank of the matrix, the following equality can be obtained.

$$\text{rank} \begin{bmatrix} A & \\ & E - A^3 \end{bmatrix} = \text{rank} \begin{bmatrix} A & \\ A^3 & E - A^3 \end{bmatrix} = \text{rank} \begin{bmatrix} A & A \\ A^3 & E \end{bmatrix} = \text{rank} \begin{bmatrix} A - A^4 & 0 \\ A^3 & E \end{bmatrix} = \text{rank} \begin{bmatrix} A - A^4 & 0 \\ 0 & E \end{bmatrix}$$

Therefore $A^4 = A \Leftrightarrow \text{rank}(A) + \text{rank}(E - A^3) = n$

Proposition 2 $A^4 = A \Rightarrow \text{rank}(A^a) + \text{rank}(E - A^3)^b = n$

Proof: On the one hand, by $A^4 = A$, we have $A(E - A^3) = 0$, So for every positive integer, we have $A^a(E - A^3) = 0$. With the help of the property of matrix multiplication operation, we can get $\text{rank}(A^a) + \text{rank}(E - A^3) \leq n$.

On the other hand, The minimum polynomial of matrix A obtained from $A^4 = A$ is the factor of polynomial $\lambda^4 - \lambda$. Therefore, the minimum polynomial of A has no multiple roots, so A can be diagonalized.

For every positive integer a, b , there exists an invertible matrix P such that the following equation holds. $P[A^a + (E - A^3)^b]P^{-1} = PA^aP^{-1} + P(E - A^3)^bP^{-1} = (PAP^{-1})^a + [E - (PAP^{-1})^3]^b$

It is not hard to get $\text{rank}[A^a + (E - A^3)^b] = n$. Hence it follows that

$$n = \text{rank}[A^a + (E - A^3)^b] \leq \text{rank}(A^a) + \text{rank}(E - A^3)^b \leq n$$

Therefore $\text{rank}(A^a) + \text{rank}(E - A^3)^b = n$

Conversely, it does not necessarily hold true. Here's an example. $A = \begin{bmatrix} 1 & 1 & 0 \\ & 1 & 1 \\ & & 1 \end{bmatrix}$, when $a = 4, b = 4$,

$$\text{rank}(A^a) + \text{rank}(E - A^3)^b = 3, \text{ but } A^4 \neq A$$

Proposition 3 $A^4 = A \Rightarrow \text{rank}(A) + \text{rank}(E - A^3 + A^2) = n + \text{rank}(A^3)$

Proof The following equation can be obtained from elementary transformation.

$$\begin{aligned} \text{rank} \begin{bmatrix} A & \\ & E - A^3 + A^2 \end{bmatrix} &= \text{rank} \begin{bmatrix} A & \\ A & E - A^3 + A^2 \end{bmatrix} = \text{rank} \begin{bmatrix} A & A^3 - A^2 \\ A & E \end{bmatrix} \\ &= \text{rank} \begin{bmatrix} A - A^4 + A^3 & A^3 - A^2 \\ 0 & E \end{bmatrix} = \text{rank} \begin{bmatrix} A - A^4 + A^3 & 0 \\ 0 & E \end{bmatrix}, \end{aligned}$$

Substituting $A^4 = A$, $\text{rank} \begin{bmatrix} A - A^4 + A^3 & 0 \\ 0 & E \end{bmatrix} = \text{rank} \begin{bmatrix} A^3 & 0 \\ 0 & E \end{bmatrix}$, Therefore

$$\text{rank} \begin{bmatrix} A & \\ & E - A^3 + A^2 \end{bmatrix} = \text{rank} \begin{bmatrix} A^3 & 0 \\ 0 & E \end{bmatrix}. \text{ That is to say}$$

$$\text{rank}(A) + \text{rank}(E - A^3 + A^2) = n + \text{rank}(A^3)$$

Conversely, it does not necessarily hold true. For example, $A = 3E$,

$$\text{rank}(A) + \text{rank}(E - A^3 + A^2) = 2n = n + \text{rank}(A^3), \text{ but } A^4 = 81E \neq 3E = A.$$

Proposition 4 $A^4 = A \Rightarrow \text{rank}(E - A^3 + A^2) = \text{rank}(A^3) + \text{rank}(E - A^3)$

$$A^4 = A, \text{rank}(A) + \text{rank}(E - A^3 + A^2) = n + \text{rank}(A^3), \text{rank}(A) + \text{rank}(E - A^3) = n,$$

So we can get $\text{rank}(E - A^3 + A^2) = \text{rank}(A^3) + \text{rank}(E - A^3)$.

But the same, based on $\text{rank}(E - A^3 + A^2) = \text{rank}(A^3) + \text{rank}(E - A^3)$, we can not get $A^4 = A$. For

example,
$$A = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}, A^2 = A^3 = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix},$$

$$\text{rank}(E - A^3 + A^2) = 3 = \text{rank}(A^3) + \text{rank}(E - A^3), \text{ but } A^4 = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \neq \begin{bmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix} = A$$

According to the definition of the fourth-idempotent matrix and its operation, the following properties of the fourth-idempotent matrix can be given.

Proposition 4

- (1) If the fourth-idempotent matrices A, B are commutative, then AB is also a fourth-idempotent matrix.
- (2) If A is a fourth-idempotent matrix, then A^3 is an idempotent matrix.
- (3) If A is a fourth-idempotent matrix, $E - A^3$ is an idempotent matrix.

(4) If A is a fourth-idempotent matrix, then for any positive integer, there are $A^n = \begin{cases} A, 3 | n-1 \\ A^2, 3 | n-2 \\ A^3, 3 | n \end{cases}$

Proposition 5 If A, B are all fourth-idempotent matrices, the following equality is satisfied

1) $\text{rank}(A^3 + B^3) = \text{rank} \begin{bmatrix} A^3 & B^3 \\ B^3 & 0 \end{bmatrix} - \text{rank} B^3 = \text{rank} \begin{bmatrix} B^3 & A^3 \\ A^3 & 0 \end{bmatrix} - \text{rank} A^3$

(2) $\text{rank}(A^3 + B^3) = \text{rank}[A^3 - A^3 B^3, B^3] = \text{rank}[B^3 - B^3 A^3, A^3]$

(3) $\text{rank}(A^3 + B^3) = \text{rank}(A^3 - A^3 B^3 - B^3 A^3 + B^3 A^3 B^3) + \text{rank} B^3$

(4) $\text{rank}(A^3 + B^3) = \text{rank}(A^3 - A^3 B^3 - B^3 A^3 + A^3 B^3 A^3) + \text{rank} A^3$

$$(5) \text{rank}(A^3 + B^3) = \text{rank} \begin{bmatrix} A^3 & B^3 & 0 \\ B^3 & 0 & A^3 \end{bmatrix} = \text{rank} \begin{bmatrix} A^3 & B^3 \end{bmatrix}$$

(6) If a_1, a_2 are two non-zero real numbers and $a_1 + a_2 \neq 0$, then $\text{rank}(a_1A^3 + a_2B^3) = \text{rank}(A^3 + B^3)$.

Theorem 1 $A \in P^{n \times n}$, $f(x) \in P[x]$ is a polynomial with any number greater than 1. Let

$d(x) = (f(x), x - x^4)$ and $m(x) = [f(x), x - x^4]$, then

$$\text{rank}f(A) + \text{rank}(A - A^4) = \text{rank}d(A) + \text{rank}m(A).$$

With the help of theorem 1 we can get if A is a fourth-idempotent matrix, then

$$\text{rank}f(A) = \text{rank}d(A) + \text{rank}m(A)$$

This theorem shows that there are also many rank eigenvalues of fourth-idempotent matrices.

Theorem 2

$$A \in P^{n \times n}, t \geq 1 \in N^+, \text{rank}(A) + \text{rank}(A^t - A^{t+3}) = \text{rank}(A^t) + \text{rank}(A - A^4).$$

Proof: When $t = 1$, the equation clearly holds.

Let $t > 1$, $f(x) = x^t$, $g(x) = x - x^4$, By simple calculation we get $(f(x), g(x)) = x$
 $[f(x), g(x)] = x^t - x^{t+3}$. By the above we can get the following equation.

$$\text{rank}(A) + \text{rank}(A^t - A^{t+3}) = \text{rank}(A^t) + \text{rank}(A - A^4)$$

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