

A Proof of “Goldbach’s Conjecture”

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Abstract: Presented is a proof of “Goldbach’s Conjecture” that is simple, direct, and concise and that is readily understandable by most mathematically or scientifically trained persons.

Keywords: Goldbach, mathematics, proof

1. INTRODUCTION

Goldbach's Conjecture states:

Every even number greater than two can be expressed as the sum of two primes.

2. STEP 1

General

All of the prime numbers other than 2 are odd. The sum of any two of those odd prime numbers is always an even number. Therefore, it only remains to show that the combinations* of all prime numbers other than 2, taken two at a time, summed in pairs, yields all of the even numbers greater than 4. That, along with that the even number 4 is the sum of the pair of prime numbers [2 + 2] will complete the proof. Goldbach's Conjecture has been verified up to 10^8 by numerical calculations.¹

*[Here the combinations in pairs may include the same number twice.]

3. STEP 2

How combinations of primes summed in pairs might yield all even numbers.

The odd prime numbers comprise a string of odd numbers each greater than the prior by two except that there are various gaps [intervals of one or more non-primes] in the sequence. For example, all of the odd numbers < 200 are as in equation (1) with the non-primes in bold face [the number 1, which is divisible only by 1 and itself, is nevertheless defined as non-prime].

1	3	5	7	9	11	13	15	17	19	21	(1)
23	25	27	29	31	33	35	37	39	41	43	
45	47	49	51	53	55	57	59	61	63	65	
67	69	71	73	75	77	79	81	83	85	87	
89	91	93	95	97	99	101	103	105	107	109	
111	113	115	117	119	121	123	125	127	129	131	
133	135	137	139	141	143	145	147	149	151	153	
155	157	159	161	163	165	167	169	171	173	175	
177	179	181	183	185	187	189	191	193	195	197	
199	...										

Designating the set of all prime numbers to be $\{P_i, i = 1, 2, \infty \dots\} = 3, 5, 7, \text{not } 9, 11, \dots$, the first sub-set of the set of all combinations* of P_i taken and summed in pairs is the sub-set

$\{3 + P_i\}$. That sub-set produces some, but not all, of the even numbers generated as the sum of two primes as in equation (2). Bold face indicates gaps in the even numbers sequence, even numbers that are the sum of two odd numbers one or both of which is non-prime and therefore do not satisfy the conjecture.

Sub-Set $\{3 + P_i\} =$ (2)

4	6	8	10	12	14	16	18	20	22	24
26	28	30	32	34	36	38	40	42	44	46
48	50	52	54	56	58	60	62	64	66	68
70	72	74	76	78	80	82	84	86	88	90
92	94	96	98	100	102	104	106	108	110	112
114	116	118	120	122	124	126	128	130	132	134
136	138	140	142	144	146	148	150	152	154	156
158	160	162	164	166	168	170	172	174	176	178
180	182	184	186	188	190	192	194	196	198	200

The gaps in the sequence of even numbers generated by the sub-set $\{3 + P_i\}$ are due to the gaps in the sequence of primes $\{P_i\}$ per equation (1), above. The next sub-set, $\{5 + P_i\}$, fills in some of those gaps while leaving corresponding other ones, equation (3), again in bold face.

Sub-Set $\{5 + P_i\} =$ (3)

6	8	10	12	14	16	18	20	22	24	26
28	30	32	34	36	38	40	42	44	46	48
50	52	54	56	58	60	62	64	66	68	70
72	74	76	78	80	82	84	86	88	90	92
94	96	98	100	102	104	106	108	110	112	114
116	118	120	122	124	126	128	130	132	134	136
138	140	142	144	146	148	150	152	154	156	158
160	162	164	166	168	170	172	174	176	178	180
182	184	186	188	190	192	194	196	198	200	202
204	...									

Applying the two sub-sets together, however, the number of gaps in the sequence of all even numbers that are generated as the sum of two primes is reduced. Sub-set $\{5 + P_i\}$ generates some even numbers that are the sum of two primes that $\{3 + P_i\}$ does not. The combined effect is as in equation (4), for which if a number is not bold in one or both of equation 2 and 3 then it is not bold below and is not a gap.

The even numbers [≤ 200] as generated by Sub-Sets $\{3 + P_i\}$ and $\{5 + P_i\}$ combined = (4)

4	6	8	10	12	14	16	18	20	22	24
26	28	30	32	34	36	38	40	42	44	46
48	50	52	54	56	58	60	62	64	66	68
70	72	74	76	78	80	82	84	86	88	90
92	94	96	98	100	102	104	106	108	110	112
114	116	118	120	122	124	126	128	130	132	134
136	138	140	142	144	146	148	150	152	154	156
158	160	162	164	166	168	170	172	174	176	178
180	182	184	186	188	190	192	194	196	198	200

The sub-set $\{5 + P_i\}$ supplies a missing even number wherever it encounters the beginning of a gap in the sequence of even numbers that were generated by the $\{3 + P_i\}$ sub-set. That happens because each number in $\{5 + P_i\}$ is 2 more [one odd number higher] than the corresponding number in $\{3 + P_i\}$. The effect is that any number in equation (2) that is at the beginning of a gap [bold face with non-bold face to its left] moves one position to the left in equation (3), moves to a position not in a gap [non-bold face]. The only exception is the initial gap, 4, which has already been addressed.

Because of this, were the sequence 3, 5, ... of the subsets $\{3 + P_i\}$, $\{5 + P_i\}$, ... to continue without any breaks, for example were it to proceed $\{7 + P_i\}$, $\{9 + P_i\}$, $\{11 + P_i\}$, ... then all of those sub-sets collectively would eventually fill in all of the gaps in the original sequence generated by $\{3 + P_i\}$ and generate all of the even numbers as sums of two primes. That is, that would happen

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provided that each of the gaps is such that there are more odd numbers, 5, 7, 9, 11, ... (but not 3, which generates the original gaps), preceding the gap than there are even numbers in the gap.

However, the sequence of subsets has breaks such as the $\{9 + P_i\}$ sub-set, which generates no even numbers as the sum of two primes because one of the two numbers summed is always the non-prime 9. There now remain two issues: what of the invalid sub-sets, ones that produce breaks in the sub-set sequence such as $\{9 + P_i\}$, and are there always sufficient prime [not merely odd] numbers preceding each gap?

4. STEP 3

The invalid sub-sets such as sub-set $\{9 + P_i\}$.

The equations below present the even numbers generated by each of sub-sets $\{7 + P_i\}$ through $\{17 + P_i\}$ for which the index, I , where $I = 7, 9, 11, 13, 17, \dots$, is prime (except 9) and for each presents the cumulative effect of all of the sub-sets through that point in eliminating gaps.

Sub-Set $\{7 + P_i\} =$ (5)

8	10	12	14	16	18	20	22	24	26	28
30	32	34	36	38	40	42	44	46	48	50
52	54	56	58	60	62	64	66	68	70	72
74	76	78	80	82	84	86	88	90	92	94
96	98	100	102	104	106	108	110	112	114	116
118	120	122	124	126	128	130	132	134	136	138
140	142	144	146	148	150	152	154	156	158	160
162	164	166	168	170	172	174	176	178	180	182
184	186	188	190	192	194	196	198	200	...	

The even numbers [≤ 200] as generated by Sub-Sets $\{3 + P_i\}$, $\{5 + P_i\}$ and $\{7 + P_i\}$ combined = (6)

4	6	8	10	12	14	16	18	20	22	24
26	28	30	32	34	36	38	40	42	44	46
48	50	52	54	56	58	60	62	64	66	68
70	72	74	76	78	80	82	84	86	88	90
92	94	96	98	100	102	104	106	108	110	112
114	116	118	120	122	124	126	128	130	132	134
136	138	140	142	144	146	148	150	152	154	156
158	160	162	164	166	168	170	172	174	176	178
180	182	184	186	188	190	192	194	196	198	200

Sub-Set $\{11 + P_i\} =$ (7)

12	14	16	18	20	22	24	26	28	30	32
34	36	38	40	42	44	46	48	50	52	54
56	58	60	62	64	66	68	70	72	74	76
78	80	82	84	86	88	90	92	94	96	98
100	102	104	106	108	110	112	114	116	118	120
122	124	126	128	130	132	134	136	138	140	142
144	146	148	150	152	154	156	158	160	162	164
166	168	170	172	174	176	178	180	182	184	186
188	190	192	194	196	198	200	...			

The even numbers [≤ 200] as generated by Sub-Sets $\{3 + P_i\}$, $\{5 + P_i\}$, $\{7 + P_i\}$ and $\{11 + P_i\}$ combined = (8)

4	6	8	10	12	14	16	18	20	22	24
26	28	30	32	34	36	38	40	42	44	46
48	50	52	54	56	58	60	62	64	66	68
70	72	74	76	78	80	82	84	86	88	90
92	94	96	98	100	102	104	106	108	110	112
114	116	118	120	122	124	126	128	130	132	134
136	138	140	142	144	146	148	150	152	154	156
158	160	162	164	166	168	170	172	174	176	178
180	182	184	186	188	190	192	194	196	198	200

Sub-Set $\{13 + P_i\} =$ (9)

14	16	18	20	22	24	26	28	30	32	34
36	38	40	42	44	46	48	50	52	54	56
58	60	62	64	66	68	70	72	74	76	78
80	82	84	86	88	90	92	94	96	98	100
102	104	106	108	110	112	114	116	118	120	122
124	126	128	130	132	134	136	138	140	142	144
146	148	150	152	154	156	158	160	162	164	166
168	170	172	174	176	178	180	182	184	186	188
190	192	194	196	198	200	...				

The even numbers $[\leq 200]$ as generated by Sub-Sets $\{3 + P_i\}$, $\{5 + P_i\}$, $\{7 + P_i\}$, $\{11 + P_i\}$ and $\{13 + P_i\}$ combined = (10)

4	6	8	10	12	14	16	18	20	22	24
26	28	30	32	34	36	38	40	42	44	46
48	50	52	54	56	58	60	62	64	66	68
70	72	74	76	78	80	82	84	86	88	90
92	94	96	98	100	102	104	106	108	110	112
114	116	118	120	122	124	126	128	130	132	134
136	138	140	142	144	146	148	150	152	154	156
158	160	162	164	166	168	170	172	174	176	178
180	182	184	186	188	190	192	194	196	198	200

Sub-Set $\{17 + P_i\} =$ (11)

18	20	22	24	26	28	30	32	34	36	38
40	42	44	46	48	50	52	54	56	58	60
62	64	66	68	70	72	74	76	78	80	82
84	86	88	90	92	94	96	98	100	102	104
106	108	110	112	114	116	118	120	122	124	126
128	130	132	134	136	138	140	142	144	146	148
150	152	154	156	158	160	162	164	166	168	170
172	174	176	178	180	182	184	186	188	190	192
194	196	198	200	...						

The even numbers $[\leq 200]$ as generated by Sub-Sets $\{3 + P_i\}$, $\{5 + P_i\}$, $\{7 + P_i\}$, $\{11 + P_i\}$, $\{13 + P_i\}$ and $\{17 + P_i\}$ combined = (12)

4	6	8	10	12	14	16	18	20	22	24
26	28	30	32	34	36	38	40	42	44	46
48	50	52	54	56	58	60	62	64	66	68
70	72	74	76	78	80	82	84	86	88	90
92	94	96	98	100	102	104	106	108	110	112
114	116	118	120	122	124	126	128	130	132	134
136	138	140	142	144	146	148	150	152	154	156
158	160	162	164	166	168	170	172	174	176	178
180	182	184	186	188	190	192	194	196	198	200

Each of the individual single sub-set tables is identical to its predecessor except that in each successive table the number in each position is increased by the amount that the index has increased. The effect is that the numbers in the sequence of tables move continuously to the left and upward in

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the tables while the table positions of unsatisfactory even numbers [ones in bold face because they are in the positions where there is no number in P_i , where the corresponding number in the sequence of all odd numbers is a non-prime] remain unmoved.

The original sequence, that of sub-set $\{3 + P_i\}$, sets the problem. It exhibits many even numbers as a sum of two primes, that is as satisfactory numbers, and it also exhibits many gaps, uninterrupted sequences of unsatisfactory even numbers. The length of a gap, G , is its number of unsatisfactory numbers in uninterrupted sequence. In general, then, what is required to insure that every even number is generated as the sum of two primes? What is required to clear all of the gaps?

As the numbers in the individual single sub-set tables move to the left and upward with the increases in the sub-set index, I , whenever a number from a gap moves onto the position of a prime [a non-bold face position] the number is expressed as a sum of two primes, a satisfactory even number, and the gap is reduced to that extent. Not more than G such events would be needed to clear the gap. Each valid sub-set that acts after the original $\{3 + P_i\}$ is a candidate to provide such an event for each gap and whether it succeeds or not it moves the gap nearer to the beginning of the table.

From this point of view, clearing a gap of length G requires that the original odd number sequence, equation (1), exhibit at least G primes ahead of the gap. This requirement is conservative because sometimes the same position in a table clears more than one element of a gap, that is one or more of the G primes ahead of the gap in the table may sometimes act more than once on the same gap. [For example, for the $G = 3$ gap **94 96 98** per sub-set $\{3 + P_i\}$, equation (2), it turns out that the prime **92** actually clears two elements in the gap, the **94** and **96** [see equations (3), (4), (5), and (6)]. And, for another example, equation (8) versus equation (10) where **122** and **126** are cleared simultaneously.] That type of help in clearing gaps is ignored in the present analysis so as to arrive at a worst case.

Another effect may further increase the above requirement. It can happen that a number in a gap could move onto the position of a prime in a sub-set having a non-prime index; or rather, the number in the gap could move more than one number to the left at one time because of the index skipping an intervening invalid sub-set [see equations (5) and (7) between which an invalid sub-set is skipped and likewise equations (9) and (11)]. However viewed, such an event could "waste" a prime, result in failure to benefit from it by the conversion of a number from unsatisfactory to satisfactory, meaning that there may need to be more than G available primes preceding the gap. [For example, the **98** in sub-set $\{7 + P_i\}$, equation (5), is in position to move to the left onto a position [non-bold face] that would clear it; however, the next sub-set is $\{9 + P_i\}$, an invalid sub-set that is skipped over because its non-prime index prevents clearing any elements. The next position after that is in bold face again and unable to clear an element. The net effect is that the potentially clearing position is missed. "wasted".]

How many more available primes might be needed to account for and offset this effect? A conservative estimate of the amount that G should be increased would be to multiply G by the ratio of the number of non-primes preceding the gap to the number of primes preceding the gap, that is increase G to $G \cdot [1 + \text{that ratio}]$. That is equivalent to assuming that every invalid sub-set wastes a prime. Sometimes the "wasted prime" position is occupied by a bold face number or sometimes the number in position to move there is already cleared so that, either way nothing is really lost. [For example, the **142** in sub-set $\{7 + P_i\}$, equation (5).] An adjustment to take account of that help in clearing gaps is ignored in the present analysis so as to arrive at a worst case.

5. STEP 4

The required number of available primes preceding the gap now becomes as follows.

Where: $n \equiv$ the first number of a gap, the gap's beginning. (13)

$\pi(n) \equiv$ the number of primes that are $\leq n$.

$R(n) \equiv$ the required number of primes ahead of the gap that begins at number "n" needed to clear that gap.

Then:

$$\begin{aligned} R(n) &= G \cdot [1 + [n - \pi(n)] / \pi(n)] \\ &= G \cdot [n / \pi(n)] \end{aligned}$$

6. STEP 5

Why there are always sufficient primes preceding each gap.

The subject of the distribution of primes has been studied in depth. Chapter 4, "How are the Prime Numbers Distributed?" of Reference [1] summarizes the history and results of those studies and presents a number of related proofs. The results fall into two categories for the present purposes: section I of the chapter, which treats the development of the Prime Number Theorem, that is expressions for the number of primes in designated intervals, and section II of the chapter, which treats gaps between primes. That referenced work is the source of the following data.

The Prime Number Theorem, the most fundamental theorem of prime numbers is as follows.

$$\begin{aligned} \pi(n) &\equiv \text{the prime counting function} & (14) \\ &\equiv \text{the number of primes } \leq n, \text{ an integer} \\ &\approx n/\text{Ln}(n) \text{ the approximation improving as } n \text{ increases} \end{aligned}$$

That approximation is low by 5.78% for $n = 10^8$ improving to low by 2.79% for $n = 10^{16}$. A better approximation is given by a function called the logarithmic interval as follows.

$$\pi(n) \approx \text{Li}(n) = \int_2^n dx/\text{Ln}(x) \quad (15)$$

The logarithmic interval approximation to $\pi(n)$ is high by only 0.013% for $n = 10^8$ and by only 0.000,000,5% for $n = 10^{16}$.

Even more accurate is the Riemann function, too involved to be worth specifying here, which for $n = 10^8$ differs from the correct value by only 0.0017% and for $n = 10^{16}$ by 0.000,000,1%.

Substituting equation (9) into equation (8) the following is obtained.

$$\begin{aligned} R(n) &= G \cdot \lceil n/\pi(n) \rceil & (16) \\ &= G \cdot n \cdot \lceil \text{Ln}(n)/n \rceil \\ &= G \cdot \text{Ln}(n) \end{aligned}$$

The issue is, of course, how does $R(n)$, the number of preceding primes required, compare with $\pi(n)$, the number of preceding primes actually available? That is as follows.

$$\begin{aligned} \pi(n)/R(n) &= \frac{n/\text{Ln}(n)}{G \cdot \text{Ln}(n)} & (17) \\ &= n/G \cdot [\text{Ln}(n)]^2 \end{aligned}$$

Fig. 1, below lists some values for that function using values for G extrapolated from the percent deviation of the logarithmic interval from the exact count of $\pi(n)$ presented above. From hundreds to thousands to even far greater multiples of the required number of primes preceding the gaps are actually available for clearing them.

<u>n</u>	<u>G</u>	<u>$\pi(n)/R(n)$</u>	<u>G/n %</u>
10^8	$10^{3.0}$	295	0.001
10^9	$10^{3.6}$	585	0.0004
10^{10}	$10^{4.3}$	945	0.0002
10^{11}	$10^{4.9}$	1962	0.00008
10^{12}	$10^{5.5}$	4142	0.00003
10^{13}	$10^{6.1}$	8865	0.00001
10^{14}	$10^{6.8}$	15251	0.000006
10^{15}	$10^{7.4}$	33372	0.000003
10^{16}	$10^{8.0}$	73676	0.000001

Figure 1

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The formulations of various accuracies for evaluating $\pi(n)$ cited on the previous page: $n/\ln(n)$, the logarithmic interval and the Riemann function, cannot be used to find individual prime numbers. Precise, not limited accuracy, is required for that. However, the formulations do indicate that the prime numbers are well distributed over the range of all numbers, that dense concentrations and large gaps cannot occur.

The function of equation (9) is smooth as shown in Fig. 2, below, and while the function only approximates the actual values of $\pi(n)$, that approximation is fairly close over most of the range. The same can be said, and more emphatically, of the more accurate functions cited for which the approximation is better. Gaps that are large relative to the location in the sequence of numbers, that is other than small values of $G/\pi(n)$ simply cannot occur. The precise condition, $\pi(n) \geq R(n) = G \cdot \ln(n)$, is greatly exceeded.

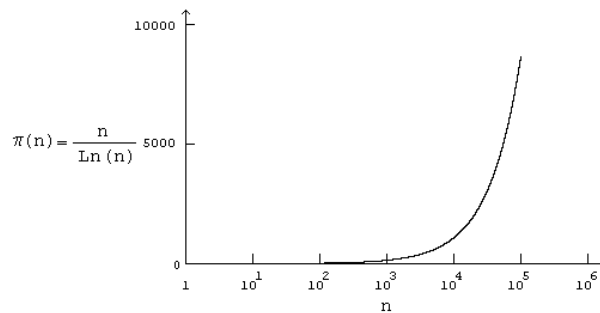


Figure 2, $\pi(n)$

For example, at $n = 10^8$, the deviation of $\pi(n)$ from the exact number of primes up to that n is 0.0017%, which is a number range of 1,700 out of 100,000,000. The largest gap in that neighborhood could not reasonably exceed twice that 3,400, and certainly not 10 times it. The number of primes available up to that point is 5,761,455. That is far more than needed to eliminate the effect of the gap. [The largest gap that has ever been found is a sequence of 653 non-primes following the prime 11,000,001,446,613,353 at which the number of preceding primes available is more than 279,238,341,033,925 (the value for 10^{16}).]

7. IN SUMMATION

- a. - All of the prime numbers other than 2 are odd, 2 being the only even prime number. Further, the even number $4 = 2 + 2$.
- b. - The sum of any two of the odd prime numbers is always an even number.
- c. - All combinations (the combinations in pairs may include the same number twice) of the odd numbers ≥ 3 [whether prime or not] summed in pairs produces all of the even numbers ≥ 6 .
- d. - While just the prime odd numbers in sequence is a sequence with gaps as compared to that of all of the odd numbers; nevertheless, all combinations of the odd prime numbers ≥ 3 summed in pairs produces all of the even numbers provided that there are enough primes preceding the gaps.
- e. - That requirement is that $\pi(n) \geq R(n) = G \cdot \ln(n)$ where n is the first number in the gap, $\pi(n)$ is the number of primes less than or equal to n , $R(n)$ is the number of preceding primes needed to assure clearance of the gap, and G is the number of sequential non-primes in the gap. This requirement is comprehensively satisfied by all of the prime numbers and gaps because of the sufficiently smooth nature of $\pi(n)$.

Which proves the conjecture.

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AUTHOR'S BIOGRAPHY



A graduate of the United States Military Academy at West Point and post-graduate of Stanford University Roger Ellman left the military and pursued his primary interest in the origin of the universe and its cosmological physics. That led to the study of gravitation, various astronomical anomalies and philosophy. He is the author of a number of scientific papers on physics and astrophysics and several books.